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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. 0609.4370004/RWE/FRC | APPLICATION NO. 09/964,412 |
| | APPLICANT de la Monte et al. | |
| | FILING DATE September 28, 2001 | GROUP 1635 |

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| SM | AA1 | 4,873,191 | 10/10/1989 | Wagner et al. | 435 | 172.3 | 08/18/1986 |
| | AB1 | 5,168,053 | 12/01/1992 | Altman et al. | 435 | 91 | 08/17/1990 |
| | AC1 | 5,830,670 | 11/03/1998 | de la Monte et al. | 435 | 7.2 | 05/30/1995 |
| | AD1 | 5,948,634 | 09/07/1999 | de la Monte et al. | 435 | 69.1 | 11/14/1994 |
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| SM | AL1 | EP 0 263 740 | 04/13/1988 | Europe | | | X Yes No |
| SM | AM1 | WO 89/03849 | 05/05/1989 | WIPO | | | Yes No |
| SM | AN1 | WO 90/06993 | 06/28/1990 | WIPO | | | Yes No |
| SM | AO1 | WO 92/07065 | 04/30/1992 | WIPO | | | Yes No |
| SM | AP1 | WO 94/23756 | 10/27/1994 | WIPO | | | Yes No |

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| SM | AL2 | WO 96/15272 | 05/23/1996 | WIPO | | | Yes No |
| SM | AM2 | WO 96/40895 | 12/19/1996 | WIPO | | | Yes No |
| | AN | | | | | | Yes No |
| | AO | | | | | | Yes No |
| | AP | | | | | | Yes No |

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| SM | AS | 2 | de la Monte, S.M., et al., "Enhanced Expression of an Exocrine Pancreatic Protein in Alzheimer's Disease and the Developing Human Brain," <i>J. Clin. Invest.</i> 86:1004-1013, The American Society for Clinical Investigation, Inc. (1990). |
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| SM | AR | 3 | de la Monte, S.M., et al., "Aberrant GAP-43 Gene Expression in Alzheimer's Disease," <i>Am. J. Pathol.</i> 147:934-946, American Society for Investigative Pathology (1995). |
| SM | AS | 3 | de la Monte, S.M., and Bloch, K.D., "Aberrant Expression of the Constitutive Endothelial Nitric Oxide Synthase Gene in Alzheimer Disease," <i>Mol. Chem. Neuropathol.</i> 30:139-159, Humana Press, Inc. (January/February 1997). |
| SM | AT | 3 | Games, D., et al., "Alzheimer-type neuropathology in transgenic mice overexpressing V717F β -amyloid precursor protein," <i>Nature</i> 373:523-527, Macmillan Journals Ltd. (1995). |

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| | AP | | | | | | Yes No |

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| SM | AT | <u>7</u> | Dialog File 351, Accession No. 88-100135/198815, Derwent WPI English language abstract for EP 0 263 740 (Document AL1). |

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| SM | AT | 8 | Claims Currently Pending from U.S. Appl. No. 09/380,203, de la Monte et al., filed April 25, 2000. |

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